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MEPC/Circ.318 26 July 1996

Ref.T5/1.01

# FORMATS FOR A MANDATORY REPORTING SYSTEM UNDER MARPOL 73/78

1 Parties to MARPOL 73/78 may recall that the formats for a mandatory reporting system under MARPOL 73/78 were circulated by MEPC/Circ.266 dated 18 October 1993.

2 Attached are the revised reporting formats for a mandatory reporting system under MARPOL 73/78 ("one-line" entry format) adopted by MEPC at its thirty-eighth session (MEPC 38/20, paragraphs 4.15 and 4.16) to facilitate communication to the Organization of information called for by articles 8, 11, and 12, regulation 12 of Annex I, regulation 7 of Annex II and regulation 7 of Annex V of MARPOL 73/78. The data from these reports will be useful to the Organization to assess the effectiveness of the application of the Convention and for statistical purposes.

3 For casualty reports under article 12(1) of MARPOL 73/78, they should be reported in accordance with SOLAS arrangements. References relating to casualty reports should be included in the column 7 of Part 1(a) or Part 1(b) as appropriate of this reporting format.

4 A report under article 12(2) of MARPOL 73/78 should be made to the Organization (Marine Environment Division) whenever a Party judges that information concerning the findings of a casualty investigation will assist in determining what changes in the Convention might be desirable. This report should be in a form suitable for issue as an MEPC information paper.

5 Parties are requested to submit their annual reports using the new formats commencing with the 1996 calendar year to the Organization by 30 September each year.<sup>\*</sup> Nil returns are required from Parties for each reporting requirement from the perspective of port, coastal or flag States.

6 Notwithstanding the above, IMO should be informed without delay in all cases of pollution incidents of potential interest to the public or where IMO assistance may be required.

It is understood that the first reports in the new format to be submitted by Parties to the Organization should be received by 30 September 1997 for the calendar year of 1996 (MEPC 38/20, paragraph 4.16)

7 The reports should be addressed to:

The Director, Marine Environment Division, International Maritime Organization, 4, Albert Embankment, London SE1 7SR, United Kingdom.

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8 These formats supersede the formats contained in MEPC/Circ.266 as far as annual reporting to the Organization is concerned.

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#### ANNEX

# PART 1

## ANNUAL SUMMARY REPORT (ARTICLE 11(1)) OF INCIDENTAL SPILLAGES UNDER ARTICLE II OF PROTOCOL I AND ARTICLE 12

**PURPOSE**: To provide IMO with a summary of discharges (article 2(3)) not permitted under the provisions of MARPOL 73/78 and pollution due to casualties to ships.

## a) Discharges of 50 tonnes or more.

1	2	3	4	5	6	7	8	9
Date of incident	Name and IMO No. of the ship	Flag State	Name of port or location of incident (Lat-Long)	Type of substance spilled	Quantity spilled	Full report on file at IMO Yes/No Reference	Remarks and action taken <sup>*</sup>	Consequences for marine environment <sup>*</sup>

## b) Discharges of less than 50 tonnes (to be reported at the discretion of Parties).

1	2	3	4	5	6	7	8	9
Date of incident	Name and IMO No. of the ship	Flag State	Name of port or location of incident (Lat-Long)	Type of substance spilled	Quantity spilled	Full report on file at IMO Yes/No Reference	Remarks and action taken <sup>*</sup>	Consequences for marine environment <sup>*</sup>

Additional sheets may be provided as appropriate

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## PART 2

#### ANNUAL ENFORCEMENT REPORT ON ALLEGED DISCHARGE VIOLATIONS

**PURPOSE**: To summarize the violation cases referred by coastal States to other Administrations for prosecution or other action and to report to IMO.

**Summary report** by the coastal State to IMO of alleged violations of the discharge provisions or incidents involving harmful substances referred to flag States (article 8(2)) taking into account the flag States' responses.

1	2	3	4	5	6
Date of incident	Name and IMO No. of the ship	Flag State to whom alleged violation was referred and date	Name of Port or Location of Incident (Lat-Long)	Type of substance spilled and estimated quantity	Summary of alleged offence, evidence. Other action taken by coastal State.

## (Continued)

7	8	9
Party responding to alleged discharge violation and date	Action taken by flag State including official proceedings	Concluding comments by the coastal State including those on official proceedings (if applicable)

## PART 3

#### ANNUAL ENFORCEMENT REPORT ON RECEPTION FACILITIES

**PURPOSE**: To summarize the alleged inadequacy of reception facilities and to summarize the results of investigations into alleged inadequacy of reception facilities.

a) Summary report by the flag State to IMO of alleged inadequacy of reception facilities referred to the port State when prior notification to use the facilities was given (Annex I-R .12 (5), Annex II-R.7(4) and Annex V-R.7(2)).

1	2	3
Name of port or terminal/country	Date of occurrence	Alleged nature of inadequacy and MARPOL Annex No.

**b) Summary report** by the port State to IMO of actions taken on alleged inadequacy of reception facilities referred to that State.

2	3	4
Date of occurrence	Reporting flag State	Investigation results/action taken
	Date of	Date of Reporting flag State

# PART 4

# ANNUAL STATISTIC REPORTS FOR. ......(YEAR)

**PURPOSE**: To provide IMO and the individual Parties with the necessary data to assess the overall implementation of MARPOL 73/78 (article 11(1)).

G	1
Section	1

1	NUMBER OF SHIPS BOARDED DURING REPORT PERIOD		TOTAL
2	IOPP CERTIFICATION DISCREPANCIES:		TOTAL
	a) No certificate or equivalency		
	b) Certificate or equivalency discrepancy		
	c) Compliance rate		%
3	OIL RECORD BOOK DISCREPANCIES:		TOTAL
	a) No oil record book or equivalency		
	b) Oil record book or equivalency discrepancy		
	c) Compliance rate		%
4	MARPOL 73/78 EQUIPMENT DISCREPANCIES:		TOTAL
	a) Required equipment not on board		
	b) Required equipment not functioning		
	c) Compliance rate		%
5	NUMBER OF SHIPS DETAINED IN PORT OR DENIED ENTRY	TOTAL	

# PART 4 (CONTINUED)

#### Section 2

Summary report by the port State to IMO about violations resulting in detention or denial of entry.

1	2	3
Name and IMO No. of the ship	Violation description	Action taken

Section 3

# Statistical Report to IMO of Penalties Imposed for MARPOL 73/78 Violations (article 11(1))

#### a) Report by port State

		Penalty imposed				
Type of violation	Number	Fin	es (in £ Ster	ling)	Imprisonment	
		Max	Min	Average	No. and average term	
1. Illegal discharge						
2. Oil Record Book						
3. IOPP Certificate						
4. Other						

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## b) Report by flag State

	Number	Penalty imposed			
Type of violation		Fines (in £ Sterling)			Imprisonment
		Max	Min	Average	No. and average term
1. Illegal discharge					
2. Oil Record Book					
3. IOPP Certificate					
4. Other					

## PART 5

## DIFFICULTIES IN THE IMPLEMENTATION OF MARPOL 73/78

Difficulties experienced in exercising port State control and the detection of violations:

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