



Ref.T5/1.01

### FORMATS FOR A MANDATORY REPORTING SYSTEM UNDER MARPOL 73/78

- 1 Parties to MARPOL 73/78 may recall that the formats for a mandatory reporting system under MARPOL 73/78 were circulated by MEPC/Circ.266 dated 18 October 1993.
- 2 Attached are the revised reporting formats for a mandatory reporting system under MARPOL 73/78 ("one-line" entry format) adopted by MEPC at its thirty-eighth session (MEPC 38/20, paragraphs 4.15 and 4.16) to facilitate communication to the Organization of information called for by articles 8, 11, and 12, regulation 12 of Annex I, regulation 7 of Annex II and regulation 7 of Annex V of MARPOL 73/78. The data from these reports will be useful to the Organization to assess the effectiveness of the application of the Convention and for statistical purposes.
- 3 For casualty reports under article 12(1) of MARPOL 73/78, they should be reported in accordance with SOLAS arrangements. References relating to casualty reports should be included in the column 7 of Part 1(a) or Part 1(b) as appropriate of this reporting format.
- 4 A report under article 12(2) of MARPOL 73/78 should be made to the Organization (Marine Environment Division) whenever a Party judges that information concerning the findings of a casualty investigation will assist in determining what changes in the Convention might be desirable. This report should be in a form suitable for issue as an MEPC information paper.
- 5 Parties are requested to submit their annual reports using the new formats commencing with the 1996 calendar year to the Organization by 30 September each year.\* Nil returns are required from Parties for each reporting requirement from the perspective of port, coastal or flag States.
- 6 Notwithstanding the above, IMO should be informed without delay in all cases of pollution incidents of potential interest to the public or where IMO assistance may be required.

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\* It is understood that the first reports in the new format to be submitted by Parties to the Organization should be received by 30 September 1997 for the calendar year of 1996 (MEPC 38/20, paragraph 4.16)

7 The reports should be addressed to:

The Director,  
Marine Environment Division,  
International Maritime Organization,  
4, Albert Embankment,  
London SE1 7SR,  
United Kingdom.

Telex           23588  
Telefax:       (+44 171 587 3210  
Telephone:     (+44 171 735 7611

8 These formats supersede the formats contained in MEPC/Circ.266 as far as annual reporting to the Organization is concerned.

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## ANNEX

## PART 1

**ANNUAL SUMMARY REPORT (ARTICLE 11(1)) OF INCIDENTAL  
SPILLAGES UNDER ARTICLE II OF PROTOCOL I AND ARTICLE 12**

**PURPOSE:** To provide IMO with a summary of discharges (article 2(3)) not permitted under the provisions of MARPOL 73/78 and pollution due to casualties to ships.

**a) Discharges of 50 tonnes or more.**

1	2	3	4	5	6	7	8	9
Date of incident	Name and IMO No. of the ship	Flag State	Name of port or location of incident (Lat-Long)	Type of substance spilled	Quantity spilled	Full report on file at IMO Yes/No Reference	Remarks and action taken*	Consequences for marine environment*

**b) Discharges of less than 50 tonnes (to be reported at the discretion of Parties).**

1	2	3	4	5	6	7	8	9
Date of incident	Name and IMO No. of the ship	Flag State	Name of port or location of incident (Lat-Long)	Type of substance spilled	Quantity spilled	Full report on file at IMO Yes/No Reference	Remarks and action taken*	Consequences for marine environment*

\* Additional sheets may be provided as appropriate





**PART 4**

**ANNUAL STATISTIC REPORTS FOR.....(YEAR)**

**PURPOSE:** To provide IMO and the individual Parties with the necessary data to assess the overall implementation of MARPOL 73/78 (article 11(1)).

**Section 1**

**Statistical report by the port State to IMO under its port State control**

<b>1</b>	<b>NUMBER OF SHIPS BOARDED DURING REPORT PERIOD</b>	_____	<b>TOTAL</b>
<b>2</b>	<b>IOPP CERTIFICATION DISCREPANCIES:</b>	_____	<b>TOTAL</b>
	a) No certificate or equivalency	_____	
	b) Certificate or equivalency discrepancy	_____	
	c) Compliance rate	_____	<b>%</b>
<b>3</b>	<b>OIL RECORD BOOK DISCREPANCIES:</b>	_____	<b>TOTAL</b>
	a) No oil record book or equivalency	_____	
	b) Oil record book or equivalency discrepancy	_____	
	c) Compliance rate	_____	<b>%</b>
<b>4</b>	<b>MARPOL 73/78 EQUIPMENT DISCREPANCIES:</b>	_____	<b>TOTAL</b>
	a) Required equipment not on board	_____	
	b) Required equipment not functioning	_____	
	c) Compliance rate	_____	<b>%</b>
<b>5</b>	<b>NUMBER OF SHIPS DETAINED IN PORT OR DENIED ENTRY</b>	_____	<b>TOTAL</b>

**PART 4 (CONTINUED)**

**Section 2**

**Summary report** by the port State to IMO about violations resulting in detention or denial of entry.

1	2	3
Name and IMO No. of the ship	Violation description	Action taken

**Section 3**

**Statistical Report to IMO of Penalties Imposed for MARPOL 73/78 Violations (article 11(1))**

**a) Report by port State**

Type of violation	Number	Penalty imposed			
		Fines (in £ Sterling)			Imprisonment
		Max	Min	Average	No. and average term
1. Illegal discharge					
2. Oil Record Book					
3. IOPP Certificate					
4. Other					

**b) Report by flag State**

Type of violation	Number	Penalty imposed			
		Fines (in £ Sterling)			Imprisonment
		Max	Min	Average	No. and average term
1. Illegal discharge					
2. Oil Record Book					
3. IOPP Certificate					
4. Other					

**PART 5**

**DIFFICULTIES IN THE IMPLEMENTATION OF MARPOL 73/78**

**Difficulties experienced in exercising port State control and the detection of violations:**

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